

Atomistic simulations of structural and thermodynamic properties of bilayer graphene

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We study the structural and thermodynamic properties of bilayer graphene, a prototype two-layer membrane, by means of Monte Carlo simulations based on the empirical bond order potential LCBOP-II (long-range carbon bond order potential II). We present the temperature dependence of lattice parameter, bending rigidity, and high-temperature heat capacity as well as the correlation function of out-of-plane atomic displacements. The thermal-expansion coefficient changes sign from negative to positive above ≈ 400 K, which is lower than previously found for single-layer graphene and close to the experimental value of bulk graphite. The bending rigidity is twice larger than for single layer graphene, making the out-of-plane fluctuations smaller. The crossover from correlated to uncorrelated out-of-plane fluctuations of the two carbon planes occurs for wave vectors shorter than ≈ 3 nm⁻¹.

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I. INTRODUCTION

Bilayer (BL) graphene has unique electronic properties and its chiral quasiparticles with parabolic dispersion make it different from both single-layer (SL) graphene and bulk graphite.¹ The energy gap of BL graphene can be opened and tuned by applying a voltage with promises for applications.^{2,3} Also the possibility of some exotic many body phenomena, such as pseudospin magnetism⁴ have been discussed. For these reasons, BL graphene is currently subject of great interest. However the knowledge of its structural properties is still very poor. It was shown experimentally that, BL graphene is also corrugated⁵ like SL graphene, but no systematic study has been carried out. This corrugation (ripples) may constitute an important scattering mechanism for electrons⁶ and ripples can give rise to charge inhomogeneities (electron and hole puddles).⁷ Although important for their relation to electronic properties, the structural properties of BL graphene are also important from the point of view of statistical mechanics since the BL graphene is a unique realization of crystalline membranes formed by two atomic layers.

Assessing the structure of a BL graphene is experimentally challenging and theoretical calculations can be particularly helpful. Since the observed corrugations are on a scale much larger than interatomic distances, *ab initio* simulations are not feasible. This interesting range of lengths (e.g., for electron interactions with ripples) is, however, not necessarily well described by continuum medium theories.⁸ Atomistic simulations based on accurate empirical interaction potentials are particularly suitable for this purpose. We have recently studied the structural and thermodynamic properties of SL graphene⁹⁻¹¹ by Monte Carlo (MC) simulations based on the LCBOP-II (long-range carbon bond order potential II).¹² Here we present the results of similar calculations for BL graphene, where a new aspect related to the correlation of atomic displacements in different layers arises.

II. METHOD OF CALCULATION

We perform MC simulations in the *NPT* ensemble (constant number of particles N , constant pressure P , and con-

stant temperature T) at pressure $P=0$ and temperature T with periodic boundary conditions for samples of $N=16128$ and $N=8640$ atoms per layer. We construct the sample with *AB* stacking which in graphite has the lowest energy, about 15 meV/atom lower than *AA* stacking.¹³ Experimentally there is no doubt that bilayer graphene obtained by exfoliation of graphite has *AB* stacking.¹ For the key results of our paper, namely, the correlation function G and bending rigidity, the computational results for the smaller sample (8640 atoms) coincide within the computational accuracy to those for the larger sample (16128 atoms). When not specified, the presented results are for the largest sample. The equilibrium size at $T=0$ K of the $N=16128$ sample is $L_x=20.66$ nm in x and $L_y=20.448$ nm in y direction and that of the $N=8640$ sample is $L_x=14.757$ nm and $L_y=15.336$ nm. The finite size of our sample defines the lowest accessible wave vectors in x and y directions as $q_x=2\pi/L_x$ and $q_y=2\pi/L_y$. Motivated by the results of recent quantum MC calculations,¹⁴ we have slightly modified the long-range part of LCBOP-II as to have an interlayer binding energy of 50 meV/atom against the 25 meV/atom of the parametrization of Ref. 12 while keeping the interlayer compressibility constant.

We equilibrate the sample for at least 5×10^5 steps (1 MC step corresponds to N attempts to a coordinate change), using the recently introduced MC sampling based on collective atomic moves (wave moves)¹¹ in addition to conventional MC moves. This technique was successfully introduced for SL graphene. For BL graphene it was extended as follows. Wave moves are applied to both layers simultaneously, or only to the upper or lower layer, with equal probabilities for the three cases. The amplitude A_1 of the wave moves applied to both layers simultaneously is different from the amplitude A_2 of the wave moves applied to either upper or lower layer separately. The amplitudes A_1 and A_2 are chosen in such a way that the acceptance rate for wave moves is between 0.4 and 0.5 for any of these three cases. Further 5×10^5 MC steps are used to evaluate the temperature dependence of the ensemble averages.

III. RESULTS

In Fig. 1 we show a snapshot of our system after equilibration at $T=1500$ K. The first thing to notice is that al-

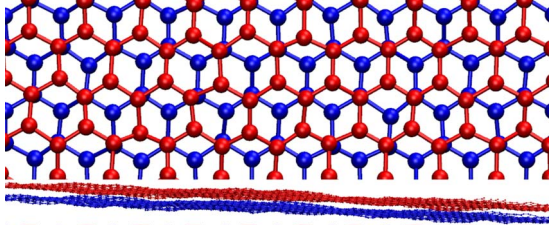


FIG. 1. (Color online) Snapshot of BL graphene at $T=1500$ K. Top: top view of a part of the sample that shows the AB stacking of the layers. Bottom: side view.

though the two layers are in principle free to slide with respect to each other they keep the initial AB stacking.

The temperature dependence of the in-plane lattice parameter a and of the interlayer distance c of BL graphene is shown in Fig. 2. The in-plane lattice parameter a of BL graphene decreases with increasing temperature up to about 400 K, yielding a negative thermal-expansion coefficient $\alpha_a = d \ln a / dT = (-3.0 \pm 0.7) \times 10^{-6} \text{ K}^{-1}$ in the range 0–300 K. The behavior of $a(T)$ differs from that of SL graphene, which has a minimum of a at $T \approx 900 \text{ K}$ and $\alpha_a = (-4.8 \pm 1.0) \times 10^{-6} \text{ K}^{-1}$ (see Ref. 10) in the range 0–300 K, and is similar to bulk graphite, which has a minimum of a between 300 and 500 K.^{15,17} We note that our approach is classical and therefore not appropriate in the low-temperature limit. However, since the thermal expansion is mostly determined by the low-frequency bending modes,¹⁵ a classical description is already justified below room temperature. Indeed for single layer graphene, our results for $a(T)$ between 100 and 400 K agree very well with those of Ref. 15 where the quantum statistics of phonons was taken into account.

In Ref. 15, the temperature dependence of a for SL graphene and bulk graphite has been determined in the quasiharmonic approximation with phonon frequencies and Grüneisen parameters calculated from first principles. While for the case of bulk graphite these calculations reproduce the nonmonotonic behavior of $a(T)$ observed experimentally, for SL graphene $a(T)$ keeps decreasing up to high temperatures. In our simulations of SL graphene¹⁰ we found instead a nonmonotonic behavior of $a(T)$. The experimental value of $a(T)$ for SL graphene that was measured up to 400 K (Ref. 18) seems to support our results.

The discrepancy with quasiharmonic results should be due to the fact that this method¹⁵ neglects self-anharmonic effects,¹⁶ namely, multiphonon contributions to the free energy. Of course, in our simulations, the thermal expansion is calculated directly and *all* anharmonic effects are taken into account. Unfortunately, we do not have results for bulk graphite with the same in-plane area, due to the long range part of our potential that, with a cutoff of 0.6 nm, requires to simulate samples with at least four layers with periodic boundary conditions. Nevertheless, we believe that the fact that the thermal expansion of BL graphene is similar to the one resulting from quasiharmonic theory for bulk graphite suggests that multiphonon processes are much less important in BL graphene, compared to SL graphene.

In Fig. 2 we also show the interlayer distance c that grows with temperature, similarly to bulk graphite,¹⁵ with an out-

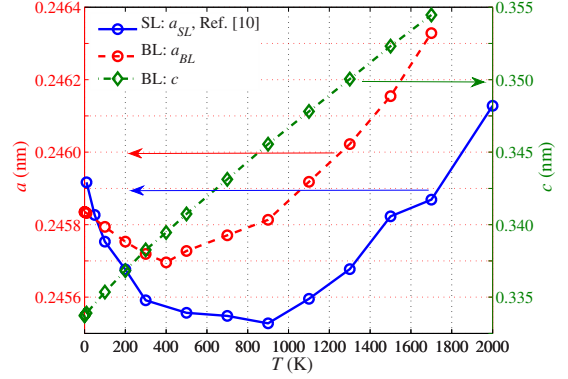


FIG. 2. (Color online) Temperature dependence of the in-plane lattice parameter a of SL (circles, solid, blue, from Ref. 10) and BL (circles, dashed, red) graphene, and of the interlayer distance c of BL graphene (diamonds, dash-dotted, green). At $T=0$, $a_{\text{SL}} = 0.24595 \text{ nm}$, $a_{\text{BL}} = 0.24583 \text{ nm}$, and $c = 0.33371 \text{ nm}$.

of-plane thermal-expansion coefficient $\alpha_c = d \ln c / dT = (3.5 \pm 0.5) \times 10^{-5} \text{ K}^{-1}$ somewhat larger than the experimental value for bulk graphite, $\alpha_c = 2.7 \times 10^{-5} \text{ K}^{-1}$ (see Ref. 17). This is not surprising because each layer has only one neighbor layer.

We now proceed to a study of thermal-bending fluctuations. In the continuum limit graphene can be described as a flexible crystalline membrane^{8,9,11} which is characterized by a two component in-plane phonon field $u_\alpha(\vec{x})$, $\alpha=1,2$ and a one component out-of-plane displacement field $h(\vec{x})$. The effective free energy is given by the sum of bending energy and in-plane elastic energy⁸

$$\mathcal{H} = \frac{1}{2} \int d^2x \left[\kappa (\nabla^2 h)^2 + \mu u_{\alpha\beta}^2 + \frac{\lambda}{2} u_{\alpha\alpha}^2 \right], \quad (1)$$

where the strain tensor $u_{\alpha\beta}$ is

$$u_{\alpha\beta} = \frac{1}{2} (\partial_\alpha u_\beta + \partial_\beta u_\alpha + \partial_\alpha h \partial_\beta h) \quad (2)$$

κ is the bending rigidity and μ and λ are Lamé coefficients.

In first approximation, BL graphene can be considered as two SL graphene layers interacting with each other. The natural way to describe BL graphene, is to use the out-of-plane deviations from the center of mass of each layer, h_1 and h_2 for upper and lower layers, respectively, as sketched in Fig. 3. Thus, BL graphene can be parametrized by the average height fluctuation field $h = (h_1 + h_2)/2$ and thickness fluctuation field $\delta h = h_1 - h_2$.

The part of the Hamiltonian (1) related to out-of-plane displacements can thus be written as

$$\mathcal{H}_{\text{out}} = \frac{1}{2} \int d^2x \left[\kappa (\nabla^2 h_1)^2 + \kappa (\nabla^2 h_2)^2 + 2\gamma (\delta h)^2 \right], \quad (3)$$

where the first two terms are responsible for the bending energy of the upper and lower layers and κ is the bending rigidity per layer. We have introduced the last term characterized by the parameter γ to account for interlayer interactions. Substituting h_1 and h_2 with $h \pm \delta h/2$, we obtain

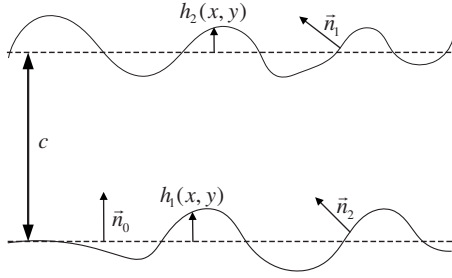


FIG. 3. Schematic view of BL graphene (solid lines). h_1 and h_2 are out-of-plane deviations with respect to the middle planes (dashed lines). The unit vectors \vec{n}_1 and \vec{n}_2 are the normals to each point in the upper and lower layers, respectively. \vec{n}_0 is the normal to the reference plane. c is the interlayer distance. The figure is schematic and does not show the real scale of the fluctuations.

$$\mathcal{H}_{\text{out}} = \frac{1}{2} \int d^2x \left[2\kappa(\nabla^2 h)^2 + \frac{\kappa}{2}(\nabla^2 \delta h)^2 + 2\gamma(\delta h)^2 \right]. \quad (4)$$

In the harmonic approximation, which means neglecting the last term of the strain tensor in Eq. (2), the out-of-plane $h(x)$ and in-plane $u_\alpha(x)$ modes are decoupled. In this approximation, the mean-square Fourier components of the field $h(\vec{q})$ with wave vectors \vec{q} are

$$\langle |h(\vec{q})|^2 \rangle = \frac{N}{S_0} \frac{T}{2\kappa q^4} \quad (5)$$

and of the field $\delta h(\vec{q})$ are

$$\langle |\delta h(\vec{q})|^2 \rangle = \frac{N}{S_0} \frac{T}{\frac{1}{2}\kappa q^4 + 2\gamma}, \quad (6)$$

where N is the number of atoms per layer, S_0 is the area per atom in the layer, and T is the temperature in units of energy. If the bending rigidity of a SL graphene is the same as the bending rigidity per layer of BL graphene, then it follows from Eq. (5), that $\langle |h(\vec{q})|^2 \rangle$ for BL graphene is twice smaller than for SL graphene. This is actually a very good approximation as we will show below.

We further introduce the notation $H(q) \equiv \langle |h(\vec{q})|^2 \rangle$ and $\Delta H(q) \equiv \langle |\delta h(\vec{q})|^2 \rangle$. An alternative way to describe out-of-plane fluctuations is via the unit vector normal to the average surface between two layers

$$n_i(\vec{x}) = -\frac{\partial_i h}{\sqrt{1 + |\nabla h|^2}} \quad (7)$$

with $i=1, 2$.⁸

The correlation function of the normals, $G(q) = \langle |\vec{n}(\vec{q})|^2 \rangle$ is equal to $q^2 H(q)$ if $|\nabla h|^2 \ll 1$. Thus, in the harmonic approximation

$$G(q) = \frac{N}{S_0} \frac{T}{2\kappa q^2}. \quad (8)$$

which is a factor 2 smaller than $G(q)$ in SL graphene.^{9,11}

The correlation functions $H(q)$ and $G(q)$ are calculated independently as described below. In principle, to calculate $H(q)$, we have to calculate the Fourier transforms of the atomic displacements $h(\vec{x})$. However, the atomic positions in

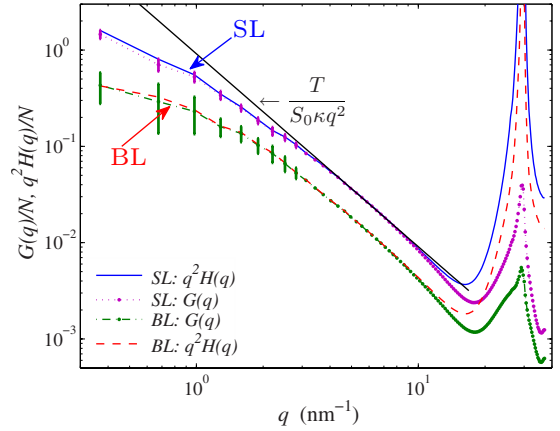


FIG. 4. (Color online) Normal-normal correlation function $G(q)/N$ at $T=300$ K for SL (solid blue line) and BL (dashed red line) graphene compared to $q^2 H(q)$ for single (dotted magenta line) and BL (dash-dotted red line) graphene. The solid black straight line shows the fit ($\sim q^{-2}$) in the harmonic part.

a generic configuration in MC simulations are discontinuous and should be smoothed. This problem is related to the numerical calculations of derivatives and different operators on the hexagonal lattice.¹⁹ Our procedure is the following. Let h_0 be the z coordinate of an atom and h_a , h_b , and h_c the z coordinates of its three nearest neighbors. Then the averaged out-of-plane displacement of the central atom \tilde{h}_0 is defined as

$$\tilde{h}_0 = \frac{1}{2} \left[h_0 + \frac{1}{3}(h_a + h_b + h_c) \right]. \quad (9)$$

This value is used to calculate the Fourier components $h(\vec{q})$ using the wave vectors defined by periodic boundary conditions of the undistorted lattice. The normals needed to calculate $G(q)$, instead, are automatically smooth because they are calculated as averages of the normals to the three planes defined by three vectors, connecting the central atom to its three nearest neighbors.^{9,11} For BL graphene, we calculate the correlation function $G(q)$ for the normals of all atoms in the two layers.

Figure 4 shows the correlation functions $G(q)/N$ and $q^2 H(q)/N$ for SL and BL graphene at $T=300$ K. We plot these functions as a function of $q=|\vec{q}|$ by giving their average value at all allowed wave vectors with the same modulus. The difference between $G(q)/N$ and $q^2 H(q)/N$ is negligible for $q < 10$ nm⁻¹ where the condition $|\nabla h|^2 \ll 1$ is satisfied. The functions $H(q)$ and $G(q)$ behave according to the harmonic approximation Eqs. (5) and (8) for q from 3 to 9 nm⁻¹ as it is also shown in Fig. 4. In this interval the correlation functions for BL graphene are about twice smaller than for SL graphene, which means that the effective bending rigidity for BL graphene is twice larger than the one of SL graphene, as we had guessed above. The deviation from the harmonic approximation for $q < 3$ nm⁻¹ is due to the coupling between bending and stretching modes in Eq. (2).⁸

Figure 5 shows the correlation functions $H(q)/N$ and $\Delta H(q)/N$ of BL graphene for $T=300$ K and $T=1500$ K to-

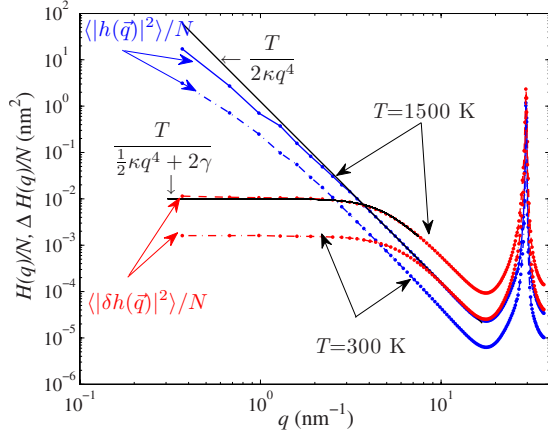


FIG. 5. (Color online) Average height $\langle |h(\vec{q})|^2 \rangle$ (blue lines) and thickness $\langle |\delta h(\vec{q})|^2 \rangle$ (red lines) fluctuations of BL graphene at $T = 300$ K (dashed lines) and $T = 1500$ K (solid lines). Black solid lines show the fit according to the Eqs. (5) and (6).

gether with the harmonic fit according to Eqs. (5) and (6). The function $\Delta H(q)$ is specific of a bilayer and has no analog for single layer membranes. First of all, we note that $\Delta H(q)$ follows the harmonic approximation Eq. (6) in the whole studied range of q , even where the deviations of $H(q)$ from the harmonic approximation Eq. (5) are pronounced. This means that thickness fluctuations are much less coupled to in-plane fluctuations, than average out-of-plane fluctuations.

The second noticeable point, is the q -independent behavior of $\Delta H(q)$ for $q < q^* \approx 3 \text{ nm}^{-1}$. In turn, this means that, in this range of q , the out-of-plane fluctuations of the two carbon layers are strongly coupled and only one soft mode $h(q)$ survives. Therefore, at scales larger than $2\pi/q^* \approx 2 \text{ nm}$, BL graphene can be considered as a single membrane, whereas at smaller scales, $h_1(q)$ and $h_2(q)$ fluctuate rather independently. The correlation between the fluctuations of the two layers is clearly seen in the side view snapshot of the sample shown in Fig. 1. Indeed it follows from Eqs. (5) and (6) that if one neglects the interlayer coupling γ in Eq. (6) one has

$$\langle h_1(q)h_2(q) \rangle = 0, \quad \langle |h_1(q)|^2 \rangle = \langle |h_2(q)|^2 \rangle = \frac{N}{S_0} \frac{T}{\kappa q^4}. \quad (10)$$

In general, the perfect coincidence of $\Delta H(q)$ calculated from the MC simulations, with the theoretical prediction in Eq. (6) of the Hamiltonian (4) confirms the correct choice of Hamiltonian to describe BL graphene.

The crossover at q^* from independent to coherent fluctuations in the two layers is important for the scattering of electrons from height fluctuations in BL graphene, which is determined mainly by long range fluctuations with strongly q -dependent correlation functions (compare with Ref. 6 for SL graphene). Therefore, fluctuations of the interlayer distance become irrelevant for electrons with wave vector $k < q^*$. Moreover, for sample sizes $L > 2\pi/q^* \approx 2 \text{ nm}$ the height fluctuations in BL graphene are expected to be weaker than in SL graphene because in the regime of coherent fluctuations the bilayer is twice stiffer than a single layer. This is

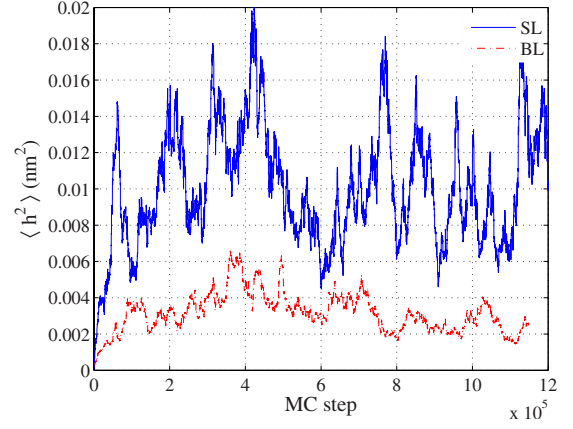


FIG. 6. (Color online) Height fluctuations of SL graphene (solid blue line) compared to one of BL graphene (dashed red line) as a function of MC step at $T = 300$ K.

qualitatively confirmed by the results presented in Fig. 6 where we compare the values of $\langle h^2 \rangle$ for SL and BL graphene.

The temperature dependence of the parameters κ and γ of BL graphene is presented in Fig. 7 together with the parameter κ of SL graphene. The parameter γ of BL graphene decreases with temperature, which is not surprising. This parameter is responsible for the interlayer coupling and it decreases with temperature since the interlayer distance c increases with temperature (Fig. 2). The effective bending rigidity κ grows with temperature in agreement with the general theory of crystalline membranes²⁰ as well as with our previous numerical results for SL graphene.^{9,11} The behavior of liquid membranes is known to be opposite, with κ decreasing with temperature.²¹ The statement that κ decreases with T also for graphene²² is therefore in disagreement with general arguments^{8,20} and our results. The point is that the origin of the main anharmonic effects in liquid and crystalline membranes are completely different. For liquid membranes they originate from high-order terms of the mean curvature in ∇h , which results in perturbative corrections to κ that are of the form $T \ln qa < 0$ with a the interatomic

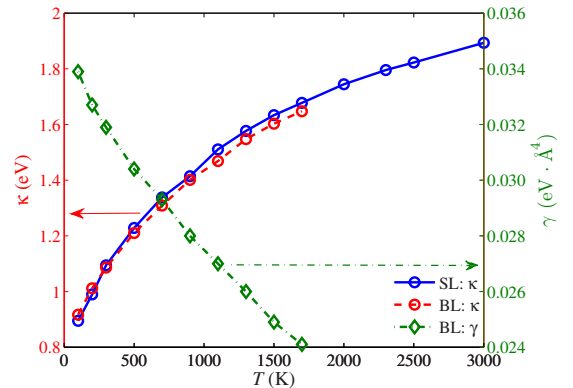


FIG. 7. (Color online) Temperature dependence of the bending rigidity κ of SL graphene (circles, solid, blue), bending rigidity per layer κ of BL graphene (circles, dashed, red) and parameter γ of BL graphene (diamonds, dash-dotted, green).

distance.^{20,21} For crystalline membranes, instead, perturbative corrections to $\kappa(T)$ due to the coupling of bending and out-of-plane fluctuations are much stronger, positive, and proportional to T/q^2 (Ref. 20).

Actually the fact that $d\kappa/dT > 0$ for crystalline membranes has a very simple meaning: as the temperature increases, the amplitude of corrugation also increases, resulting in a strengthening of the membrane.²³ As already mentioned, the bending rigidity per layer of BL graphene turns out to be very close to that of SL graphene, which is not surprising since the interlayer coupling is much weaker than the in-plane chemical bonding. However, since the renormalization of κ is strongly q dependent for crystalline membranes, the definition of $\kappa(T)$ should be further specified. What is shown as $\kappa(T)$ in Fig. 7, and what was previously calculated for SL graphene in Refs. 9 and 11 are the results of a best fit of the correlation functions $G(q)$ and $H(q)$ in the q range where the slope can be well approximated by the harmonic behavior of Eqs. (4) and (8). Since, in this interval of q , the out-of-plane fluctuations of either layer of BL graphene are of the same order as those of SL graphene [see Eq. (10)], it is not surprising that the temperature dependence of κ for BL graphene is only marginally smaller than for the one of SL graphene.

It is important to notice, however, that the macroscopic behavior of the bending rigidity of free membranes for $q \rightarrow 0$ at finite temperature is divergent as $q^{-\eta}$ with $\eta \approx 0.85$ (see Refs. 8 and 11). The size of the BL graphene samples used here makes an estimate of η for this case not precise enough as to be compared quantitatively to that found for SL graphene¹¹ but the qualitative behavior shown in Fig. 4 is very similar for SL and BL graphene.

The mean-square height fluctuations $\langle h^2 \rangle = \sum_q H(q)$ are equally size-dependent. Since the sum over q is divergent at the lower limit $q_{\min} = 2\pi/L$, $\langle h^2 \rangle$ is mostly determined by the effective $\kappa(q)$ for the smallest wave vectors and therefore, for large enough samples, it should scale as $L^{2-\eta}$ (see Refs. 8 and 11). According to Fig. 5, deviations of $H(q)$ from harmonic behavior occur for $q < 1 \text{ nm}^{-1}$ and thus, the crossover from harmonic behavior $h^2 \propto L^2$ to the anharmonic one $h^2 \propto L^{2-\eta}$ takes place for sample size $L \approx 6 \text{ nm}$.

To characterize qualitatively the anharmonicity at the atomic scale, we calculate the temperature dependence of the molar heat capacity at constant volume

$$C_V = \frac{3R}{2} + \frac{dU}{dT}, \quad (11)$$

where U is the potential energy and R the gas constant. In Fig. 8 we compare the results with those of SL graphene.¹⁰

Three and four phonon processes result in the linear growth of C_V at high temperatures.¹⁶ One can see that SL and

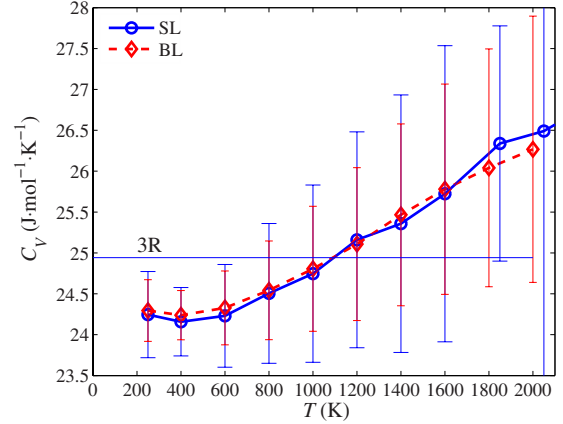


FIG. 8. (Color online) Temperature dependence of the molar heat capacity at constant volume C_V of SL (solid blue line) and BL (dashed red line) graphene. Data obtained for $N=8640$ atoms sample.

BL graphene are almost the same as expected since phonons of the whole Brillouin zone contribute to this quantity and the phonon spectra of SL and BL graphene differ only slightly close to the Γ point (see, e.g., the calculated phonon spectra of graphene and graphite in Ref. 15).

IV. SUMMARY

In conclusions, we have studied several temperature-dependent properties of BL graphene by means of classical MC simulations. The high-temperature heat capacity is similar to that of SL graphene whereas the thermal expansion is essentially different and close to the one experimentally observed in graphite.

We also introduced a new Hamiltonian which accounts for interlayer interactions in BL graphene and showed that it correctly describes the behavior of BL graphene. We have found that, depending on the wave vector, the height fluctuations in the two layers are either coherent (for $q < q^*$) or incoherent (for $q > q^*$) with $q^* \approx 3 \text{ nm}^{-1}$ at room temperature and we have discussed the consequences of this fact for observable properties, such as height fluctuations and electron scattering.

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